



UNITED STATES PATENT AND TRADEMARK OFFICE

New Employee Pre-Appointment Security Package

Introduction

This employee pre-appointment security package contains important personnel forms which must be completed prior to a firm commitment of employment at the United States Patent and Trademark Office.

All forms can be filled out electronically. However, you may still print out the forms and complete them manually. You must review all forms for accuracy before you sign and return them to the USPTO. As a convenience to you, the Acrobat Reader software allows you to type your information, but will not allow you to save the document, so please keep copies of all documents.

Print the documents as you complete each one so data is not lost. When you print the documents, make sure that the "fit to page" option is selected in the print properties box.

Complete all forms, review for accuracy, sign, and mail them back to the USPTO. Follow the instructions in this package carefully to ensure a successful completion of these forms.

Trustworthiness is an important consideration in deciding your suitability. The information you provide will be verified. Negative information provided on your application does not necessarily preclude you from being hired. Negative information will be reviewed on a case by case basis and adjudicated per Office of Personnel Management (OPM) standards.

Should you have any questions about completing the forms, please contact the USPTO, Office of Human Resources at (703) 305-8231 and request to speak to the Human Resources Specialist who sent you the package.

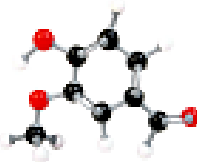


Table of Contents

Introduction

Table of Contents / Checklist of Documents to be Completed

Instructions

Checklist of Documents to be Completed

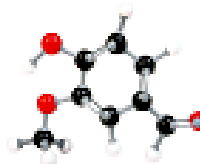
Instructions regarding the completion of the SF-85 questionnaire for non-sensitive positions

Questionnaire for Non-Sensitive Positions
(Standard Form 85, Office of Personnel Management)

Continuation Sheet for Questionnaire
(Standard Form 86A, Office of Personnel Management)

Declaration of Federal Employment
(Optional Form 306, Office of Personnel Management)

Printing and Mailing Instructions



Checklist of Documents to be Completed

____ Questionnaire for Non-Sensitive Positions (must be received in OHR prior to a firm commitment of employment at the USPTO)
(Standard Form 85, Office of Personnel Management)

____ Declaration of Federal Employment (must be received in OHR prior to a firm commitment of employment at the USPTO)
(Optional Form 306, Office of Personnel Management)

Instructions

All forms should be completed within this Adobe Acrobat file. Your screen should be divided into two panes. This document is on the right, and a listing of all book-marked pages within this document on the left. Clicking on any bookmark in the left pane will take you directly to that page. You are currently on the Instructions page. Go ahead and click around to get the feel for it, then return to this page.

All forms in this package have color-coded fields. Fields are coded as follows:

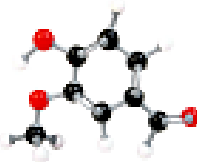
Required - you must enter information when applicable.

Note: some fields will automatically highlight and prompt you for information based on data entered in previous fields.

Automatic Entry - You only need to enter basic information once (e.g., name, address, SSN, date of birth, etc). This package will automatically enter data in all other forms requiring that information. As such, these fields should already have information in them when you see them. No action is necessary with these fields.

Pre-filled - Some fields are pre-filled out for you since the entry for all employees would be the same. No action is necessary with these fields.

Sign & Date - These are reminders to show you where you need to sign and date the form after it has been printed.



Instructions regarding the completion of the SF-85 Questionnaire for Non-Sensitive Positions

- Follow the instructions given on both the pages of the instruction sheet and the SF-85 form. Do not leave any questions unanswered.
- You must account for all time, month to month, and year to year, even if you were in school. All time gaps in employment must be explained.
- Must provide three references.
- Make sure that you initial and date all changes/mark-outs that you make on the SF-85 form.
- **THIS FORM MUST BE COMPLETED AND Received prior to receiving a commitment of employment from the U.S. Patent and Trademark Office.**

For patent examiner positions only use the following address:

United States Patent and Trademark Office
Box JARS
Washington, DC 20231

For all other USPTO positions use the following addresses:

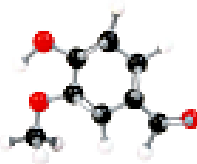
(Hand Carried or Fed Ex)
US Patent and Trademark Office
Office of Human Resources
2011 Crystal Drive, Suite 707
Arlington VA 22202

(Regular Mail)
US Patent and Trademark Office
Office of Human Resources
PO Box 171
Washington DC 20231

Attention: (use the name of your Human Resources Specialist point of contact)

*** As stated on the SF-85:**

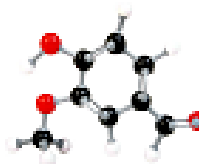
THE U.S. CRIMINAL CODE (TITLE 18, SECTION 1001) PROVIDES THAT KNOWINGLY FALSIFYING OR CONCEALING MATERIAL FACT IS A FELONY WHICH MAY RESULT IN FINES OF UP TO \$10,000, AND/OR 5 YEARS IMPRISONMENT, OR BOTH. IN ADDITION, FEDERAL AGENCIES GENERALLY FIRE, OR DISQUALIFY INDIVIDUALS WHO HAVE MATERIALLY AND DELIBERATELY FALSIFIED THESE FORMS, AND THIS REMAINS A PART OF THE PERMANENT RECORD FOR FUTURE PLACEMENTS.



INSTRUCTION FOR COMPLETING THE SF-85 FORM

1. Enter your complete legal name. Do not use initials or nicknames. If you do not have a middle name; enter "NMN" (No Middle Name) in that space; if you have an initial for a middle name, enter : "IO". The SF-85 form is still considered incomplete if there is no entry in the "middle name" block.
2. Enter your date of birth in the month, day and year format. Double check to make sure it is correct.
3. Indicate the city, county, and state in which you were born. If you were born outside of the United States, enter the city and country. Double check to make sure the entry matches the information provided in the OF-306 (Declaration of Federal Employment) form.
4. Enter your Social Security Number.
5. List any names other than your current legal name that you have ever used. Indicate a maiden name by putting "nee" in front of it. Show when you began and stopped using each name. Double check to make sure that if your name was different at birth that you include your middle name that was used at that time. Include any nicknames or initials that you have used also.
6. Indicate whether you are male or female.
7. Mark the correct citizenship status block(s) and your mothers maiden name.
8. List all physical residences where you have lived for the past consecutive **FIVE** years. For example, show your dorm residence while you were in college and not your parent's address. Remember, your **physical address**. Do not list a Post Office Box. Do indicate the full complete **street address, city, state and zip code**. Show the "from" and "to" dates by month and year format and make sure all time periods are covered. Indicate the name and complete address of a person who knew you when you lived at that address, preferably a neighbor. Do not list a relative, spouse, or former spouse. Double check and make sure all of the information goes back five consecutive years. For example, if your first day at USPTO is January 1998, your residence information must cover from 01/93 to 01/98 and so on. The SF-85 form will be considered incomplete if 5 years to the exact month is not covered.
9. Indicate all schools attended after junior high school by name, **street address, city and zip code**.

Show attendance dates by month and year. If your college does not use a street address for mailing purposes, show the street address of the administrative building. Show degree earned and date awarded.



10. Starting with the **U.S. Patent and Trademark Office as number 1 of section #10** in the SF-85 form, indicate all periods of employment or unemployment for the previous five years. A student is considered to be unemployed. Show "from" and "to" dates, company name and supervisor's name or somebody who can verify your self-employment or unemployment. Indicate your position, the physical address of your employer or verifier as well as **street address, city and zip code**. Previous Periods of Activity blocks are used to document when you worked for an employer on more than one occasion at the same location. When you indicate USPTO as number 1, use this address:

U.S. Patent and Trademark Office
2011 Crystal Drive, Suite 707
Arlington, VA 22202
Tel: (703) 305-8231

The code will be "4".

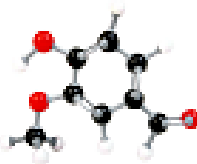
11. List three people who know you well. **DO NOT** list your spouse, former spouse, or other relatives. You must list their full, complete addresses (street address, city and zip code) and the dates that they have known you.

12. Answer yes (if applicable) or no to 12a. If you do not readily know your selective service number, call (847) 688-6888 or visit the Selective Service System web site at www.sss.gov to check your registration number or to register on-line. You cannot be sworn in as a federal employee without being registered. Place your selective service number in 12b.

13. Answer yes or no to both 13a and 13b. If yes to either 13a or 13b, list all necessary information, i.e., dates serviced, applicable codes, service number and status.

14. Answer yes or no regarding using, possessing, supplying, or manufacturing illegal drugs during the past year. If you answer yes, provide all applicable information regarding this activity. Sign and certify page 5. Read the Authorization for Release of Information on page 6, complete the bottom portion of page 6 (including full name, other names, social security number, and full address) and sign and date the bottom.

If you have any questions regarding this form, please contact the USPTO Office of Security at (703) 306-9000.



Questionnaire for Non-Sensitive Positions

Follow instructions fully or we cannot process your form. Be sure to sign and date the certification statement on Page 5 and the release on Page 6. If you have any questions, call the office that gave you the form.

Purpose of this Form

The U.S. Government conducts background investigations to establish that applicants or incumbents either employed by the Government or working for the Government under contract, are suitable for the job. Information from this form is used primarily as the basis for this investigation. Complete this form only after a conditional offer of employment has been made.

Giving us the information we ask for is voluntary. However, we may not be able to complete your investigation, or complete it in a timely manner, if you don't give us each item of information we request. This may affect your placement or employment prospects.

Authority to Request this Information

The U.S. Government is authorized to ask for this information under Executive Order 10577, sections 3301 and 3302 of title 5, U.S. Code; and parts 5, 731, and 736 of Title 5, Code of Federal Regulations.

Your Social Security Number is needed to keep records accurate, because other people may have the same name and birth date. Executive Order 9397 also asks Federal agencies to use this number to help identify individuals in agency records.

The Investigative Process

Background investigations are conducted using your responses on this form and on your Declaration for Federal Employment (OF 306) to develop information to show whether you are reliable, trustworthy, and of good conduct and character. Your current employer must be contacted as part of the investigation, even if you have previously indicated on applications or other forms that you do not want this.

Instructions for Completing this Form

1. Follow the instructions given to you by the person who gave you the form and any other clarifying instructions furnished by that person to assist you in completion of the form. Find out how many copies of the form you are to turn in. You must sign and date, in black ink, the original and each copy you submit.

2. Type or legibly print your answers in black ink (if your form is not legible, it will not be accepted). You may also be asked to submit your form in an approved electronic format.

3. All questions on this form must be answered. If no response is necessary or applicable, indicate this on the form (for example, enter "None" or "N/A"). If you find that you cannot report an exact date, approximate or estimate the date to the best of your ability and indicate this by marking "APPROX." or "EST."

4. Any changes that you make to this form after you sign it must be initialed and dated by you. Under certain limited circumstances, agencies may modify the form consistent with your intent.

5. You must use the State codes (abbreviations) listed on the back of this page when you fill out this form. Do not abbreviate the names of cities or foreign countries.

6. The 5-digit postal ZIP codes are needed to speed the processing of your investigation. The office that provided the form will assist you in completing the ZIP codes.

7. All telephone numbers must include area codes.

8. All dates provided on this form must be in Month/Day/Year or Month/Year format. Use numbers (1-12) to indicate months. For example, June 10, 1978, should be shown as 6/10/78.

9. Whenever "City (Country)" is shown in an address block, also provide in that block the name of the country when the address is outside the United States.

10. If you need additional space to list your residences or employments/self-employments/unemployment or education, you should use a continuation sheet, SF 86A. If additional space is needed to answer other items, use a blank piece of paper. Each blank piece of paper you use must contain **your name and Social Security Number at the top of the page.**

Final Determination on Your Eligibility

Final determination on your eligibility for a position is the responsibility of the Office of Personnel Management or the Federal agency that requested your investigation. You may be provided the opportunity personally to explain, refute, or clarify any information before a final decision is made.

Penalties for Inaccurate or False Statements

The U.S. Criminal Code (title 18, section 1001) provides that knowingly falsifying or concealing a material fact is a felony which may result in fines of up to \$10,000, and/or 5 years imprisonment, or both. In addition, Federal agencies generally fire, or disqualify individuals who have materially and deliberately falsified these forms, and this remains a part of the permanent record for future placements. Your trustworthiness is a very important consideration in deciding your suitability. Your prospects of placement are better if you answer

all questions truthfully and completely. You will have adequate opportunity to explain any information you give us on the form and to make your comments part of the record.

Disclosure of Information

The information you give us is for the purpose of determining your suitability for Federal employment; we will protect it from unauthorized disclosure. The collection, maintenance, and disclosure of background investigative information is governed by the Privacy Act. The agency which requested the investigation and the agency which conducted the investigation have published notices in the Federal Register describing the systems of records in which your records will be maintained. You may obtain copies of the relevant notices from the person who gave you this form. The information on this form, and information we collect during an investigation may be disclosed without your consent as permitted by the Privacy Act (5 USC 552a(b)) and as follows:

PRIVACY ACT ROUTINE USES

1. To the Department of Justice when: (a) the agency or any component thereof; or (b) any employee of the agency in his or her official capacity; or (c) any employee of the agency in his or her individual capacity where the Department of Justice has agreed to represent the employee; or (d) the United States Government, is a party to litigation or has interest in such litigation, and by careful review, the agency determines that the records are both relevant and necessary to the litigation and the use of such records by the Department of Justice is therefore deemed by the agency to be for a purpose that is compatible with the purpose for which the agency collected the records.
2. To a court or adjudicative body in a proceeding when: (a) the agency or any component thereof; or (b) any employee of the agency in his or her official capacity; or (c) any employee of the agency in his or her individual capacity where the Department of Justice has agreed to represent the employee; or (d) the United States Government is a party to litigation or has interest in such litigation, and by careful review, the agency determines that the records are both relevant and necessary to the litigation and the use of such records is therefore deemed by the agency to be for a purpose that is compatible with the purpose for which the agency collected the records.
3. Except as noted in Question 14, when a record on its face, or in conjunction with other records, indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute, particular program statute, regulation, rule, or order issued pursuant thereto, the relevant records may be disclosed to the appropriate Federal, foreign, State, local, tribal, or other public authority responsible for enforcing, investigating or prosecuting such violation or charged with enforcing or implementing the statute, rule, regulation, or order.
4. To any source or potential source from which information is requested in the course of an investigation concerning the hiring or retention of an employee or other personnel action, or the issuing or retention of a security clearance, contract, grant, license, or other benefit, to the extent necessary to identify the individual, inform the source of the nature and purpose of the investigation, and to identify the type of information requested.
5. To a Federal, State, local, foreign, tribal, or other public authority the fact that this system of records contains information relevant to the retention of an employee, or the retention of a security clearance, contract, license, grant, or other benefit. The other agency or licensing organization may then make a request supported by written consent of the individual for the entire record if it so chooses. No disclosure will be made unless the information has been determined to be sufficiently reliable to support a referral to another office within the agency or to another Federal agency for criminal, civil, administrative, personnel, or regulatory action.
6. To contractors, grantees, experts, consultants, or volunteers when necessary to perform a function or service related to this record for which they have been engaged. Such recipients shall be required to comply with the Privacy Act of 1974, as amended.
7. To the news media or the general public, factual information the disclosure of which would be in the public interest and which would not constitute an unwarranted invasion of personal privacy.
8. To a Federal, State, or local agency, or other appropriate entities or individuals, or through established liaison channels to selected foreign governments, in order to enable an intelligence agency to carry out its responsibilities under the National Security Act of 1947 as amended, the CIA Act of 1949 as amended, Executive Order 12333 or any successor order, applicable national security directives, or classified implementing procedures approved by the Attorney General and promulgated pursuant to such statutes, orders or directives.
9. To a Member of Congress or to a Congressional staff member in response to an inquiry of the Congressional office made at the written request of the constituent about whom the record is maintained.
10. To the National Archives and Records Administration for records management inspections conducted under 44 USC 2904 and 2906.
11. To the Office of Management and Budget when necessary to the review of private relief legislation.

STATE CODES (ABBREVIATIONS)

Alabama	AL	Hawaii	HI	Massachusetts	MA	New Mexico	NM	South Dakota	SD
Alaska	AK	Idaho	ID	Michigan	MI	New York	NY	Tennessee	TN
Arizona	AZ	Illinois	IL	Minnesota	MN	North Carolina	NC	Texas	TX
Arkansas	AR	Indiana	IN	Mississippi	MS	North Dakota	ND	Utah	UT
California	CA	Iowa	IA	Missouri	MO	Ohio	OH	Vermont	VT
Colorado	CO	Kansas	KS	Montana	MT	Oklahoma	OK	Virginia	VA
Connecticut	CT	Kentucky	KY	Nebraska	NE	Oregon	OR	Washington	WA
Delaware	DE	Louisiana	LA	Nevada	NV	Pennsylvania	PA	West Virginia	WV
Florida	FL	Maine	ME	New Hampshire	NH	Rhode Island	RI	Wisconsin	WI
Georgia	GA	Maryland	MD	New Jersey	NJ	South Carolina	SC	Wyoming	WY
American Samoa	AS	District of Columbia	DC	Guam	GU	Northern Marianas	CM	Puerto Rico	PR
Trust Territory	TT	Virgin Islands	VI						

PUBLIC BURDEN INFORMATION

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Reports and Forms Management Officer, U.S. Office of Personnel Management, 1900 E Street, N.W., Room CHP-500, Washington, D.C. 20415. Do not send your completed form to this address.

**QUESTIONNAIRE FOR
NON-SENSITIVE POSITIONS**

OPM USE ONLY	Codes	Case Number
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Agency Use Only (Complete items A through K using instructions provided by USOPM)

A Type of Investigation	B Extra Coverage	C Nature of Action Code	D Date of Action	Month	Day	Year
E Geographic Location	F Position Title	G SON	H SOI			
I OPAC-ALC Number	J Accounting Data and/or Agency Case Number					
K Requesting Official	Name and Title	Signature	Telephone Number	Date		

Persons completing this form should begin with the questions below.

1 FULL NAME • If you have only initials in your name, use them and state (IO). • If you have no middle name, enter "NMN".	- If you are a "Jr.," "Sr.," "II," etc., enter this in the box after your middle name.	2 DATE OF BIRTH
Last Name	First Name	Middle Name
	Jr., II, etc.	Month Day Year

3 PLACE OF BIRTH - Use the two letter code for the State.	4 SOCIAL SECURITY
City	County
State	Country (if not in the United States)

5 OTHER NAMES USED Give other names you used and the period of time you used them (for example: your maiden name, name(s) by a former marriage, former name(s), alias(es), or nickname(s)). If the other name is your maiden name, put "nee" in front of it.	
Name	Month/Year To
#1	#3
Name	Month/Year To
#2	#4
Name	Month/Year To

6 SEX (Mark one box)	Female <input type="checkbox"/>	Male <input type="checkbox"/>
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7 CITIZENSHIP	b Your Mother's Maiden Name
a Mark the box at the right that reflects your current citizenship status, and follow its instructions.	
<input type="checkbox"/> I am a U.S. citizen or national by birth in the U.S. or U.S. territory/possession. (Answer items b and d)	
<input type="checkbox"/> I am a U.S. citizen, but I was NOT born in the U.S. (Answer items b, c and d)	
<input type="checkbox"/> I am not a U.S. citizen. (Answer items b and e)	

c UNITED STATES CITIZENSHIP If you are a U.S. citizen, but were not born in the U.S., provide information about one or more of the following proofs of your citizenship.				
Naturalization Certificate (Where were you naturalized?)				
Court	City	State	Certificate Number	Month/Day/Year Issued
Citizenship Certificate (Where was the certificate issued?)				
City	State	Certificate Number	Month/Day/Year Issued	
State Department Form 240 - Report of Birth Abroad of a Citizen of the United States				
Give the date the form was prepared and give an explanation if needed	Month/Day/Year	Explanation		
U.S. Passport				
This may be either a current or previous U.S. Passport.	Passport Number	Month/Day/Year Issued		

d DUAL CITIZENSHIP If you are (or were) a dual citizen of the United States and another country, provide the name of that country in the space to the right.	Country
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e ALIEN If you are an alien, provide the following information:					
Place You Entered the United States:	City	State	Date You Entered U.S.	Alien Registration Number	Country(ies) of Citizenship
			Month Day Year		

8 WHERE YOU HAVE LIVED

List the places where you have lived, beginning with the most recent (#1) and working back 5 years. All periods must be accounted for in your list. Be sure to indicate the actual physical location of your residence: do not use a post office box as an address, do not list a permanent address when you were actually living at a school address, etc. Be sure to specify your location as closely as possible: for example, do not list only your base or ship, list your barracks number or home port. You may omit temporary military duty locations under 90 days (list your permanent address instead), and you should use your APO/FPO address if you lived overseas.

For any address in the last 3 years, list a person who knew you at that address, and who preferably still lives in that area (do not list people for residences completely outside this 3-year period, and do not list your spouse, former spouses, or other relatives).

Month/Year #1	Month/Year To Present	Street Address	Apt. #	City (Country)	State	ZIP Code
Name of Person Who Knows You		Street Address	Apt. #	City (Country)	State	ZIP Code
Month/Year #2	Month/Year To	Street Address	Apt. #	City (Country)	State	ZIP Code
Name of Person Who Knew You		Street Address	Apt. #	City (Country)	State	ZIP Code
Month/Year #3	Month/Year To	Street Address	Apt. #	City (Country)	State	ZIP Code
Name of Person Who Knew You		Street Address	Apt. #	City (Country)	State	ZIP Code
Month/Year #4	Month/Year To	Street Address	Apt. #	City (Country)	State	ZIP Code
Name of Person Who Knew You		Street Address	Apt. #	City (Country)	State	ZIP Code
Month/Year #5	Month/Year To	Street Address	Apt. #	City (Country)	State	ZIP Code
Name of Person Who Knew You		Street Address	Apt. #	City (Country)	State	ZIP Code

9 WHERE YOU WENT TO SCHOOL

List the schools you have attended, beyond Junior High School, **beginning with the most recent (#1) and working back 5 years**. List all College or University degrees and the dates they were received. If all of your education occurred more than 5 years ago, list your most recent education beyond high school, no matter when that education occurred.

- Use one of the following codes in the "Code" block:

1 - High School

2 - College/University/Military College

3 - Vocational/Technical/Trade School

- For correspondence schools and extension classes, provide the address where the records are maintained.

Month/Year #1	Month/Year To	Code	Name of School	Degree/Diploma/Other	Month/Year Awarded
Street Address and City (Country) of School				State	ZIP Code

Month/Year #2	Month/Year To	Code	Name of School	Degree/Diploma/Other	Month/Year Awarded
Street Address and City (Country) of School				State	ZIP Code

Month/Year #3	Month/Year To	Code	Name of School	Degree/Diploma/Other	Month/Year Awarded
Street Address and City (Country) of School				State	ZIP Code

Enter your Social Security Number before going to the next page →

10 YOUR EMPLOYMENT ACTIVITIES

List your employment activities, beginning with the present (#1) and working back 5 years. You should list all full-time work, part-time work, military service, temporary military duty locations over 90 days, self-employment, other paid work, and all periods of unemployment. The entire 5-year period must be accounted for without breaks, but you need not list employments before your 16th birthday.

- **Code.** Use one of the codes listed below to identify the type of employment:

1 - Active military duty stations

2 - National Guard/Reserve

3 - U.S.P.H.S. Commissioned Corps

4 - Other Federal employment

5 - State Government (Non-Federal employment)

6 - Self-employment (Include business name and/or name of person who can verify)

7 - Unemployment (Include name of person who can verify)

8 - Federal Contractor (List Contractor, not Federal agency)

9 - Other

- **Employer/Verifier Name.** List the business name of your employer or the name of the person who can verify your self-employment or unemployment in this block. If military service is being listed, include your duty location or home port here as well as your branch of service. You should provide separate listings to reflect changes in your military duty locations or home ports.

- **Previous Periods of Activity.** Complete these lines if you worked for an employer on more than one occasion at the same location. After entering the most recent period of employment in the initial numbered block, provide previous periods of employment at the same location on the additional lines provided. For example, if you worked at XY Plumbing in Denver, CO, during 3 separate periods of time, you would enter dates and information concerning the most recent period of employment first, and provide dates, position titles, and supervisors for the two previous periods of employment on the lines below that information.

Month/Year		Month/Year		Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank			
#1	To	Present							
Employer's/Verifier's Street Address					City (Country)		State	ZIP Code	Telephone Number ()
Street Address of Job Location (if different than Employer's Address)					City (Country)		State	ZIP Code	Telephone Number ()
Supervisor's Name & Street Address (if different than Job Location)					City (Country)		State	ZIP Code	Telephone Number ()
PREVIOUS PERIODS OF ACTIVITY (Block #1)	Month/Year	Month/Year	Position Title		Supervisor				
	To								
	Month/Year	Month/Year	Position Title		Supervisor				
	To								
PREVIOUS PERIODS OF ACTIVITY (Block #2)	Month/Year	Month/Year	Position Title		Supervisor				
	To								
	Month/Year	Month/Year	Position Title		Supervisor				
	To								
Month/Year		Month/Year		Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank			
#2	To								
Employer's/Verifier's Street Address					City (Country)		State	ZIP Code	Telephone Number ()
Street Address of Job Location (if different than Employer's Address)					City (Country)		State	ZIP Code	Telephone Number ()
Supervisor's Name & Street Address (if different than Job Location)					City (Country)		State	ZIP Code	Telephone Number ()
PREVIOUS PERIODS OF ACTIVITY (Block #2)	Month/Year	Month/Year	Position Title		Supervisor				
	To								
	Month/Year	Month/Year	Position Title		Supervisor				
	To								
Month/Year		Month/Year		Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank			
#3	To								
Employer's/Verifier's Street Address					City (Country)		State	ZIP Code	Telephone Number ()
Street Address of Job Location (if different than Employer's Address)					City (Country)		State	ZIP Code	Telephone Number ()
Supervisor's Name & Street Address (if different than Job Location)					City (Country)		State	ZIP Code	Telephone Number ()
PREVIOUS PERIODS OF ACTIVITY (Block #3)	Month/Year	Month/Year	Position Title		Supervisor				
	To								
	Month/Year	Month/Year	Position Title		Supervisor				
	To								

Enter your Social Security Number before going to the next page →

YOUR EMPLOYMENT ACTIVITIES (CONTINUED)

#4	Month/Year To	Month/Year	Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank		
Employer's/Verifier's Street Address				City (Country)	State	ZIP Code	Telephone Number ()
Street Address of Job Location (if different than Employer's Address)				City (Country)	State	ZIP Code	Telephone Number ()
Supervisor's Name & Street Address (if different than Job Location)				City (Country)	State	ZIP Code	Telephone Number ()

PREVIOUS PERIODS OF ACTIVITY (Block #4)	Month/Year To	Month/Year	Position Title	Supervisor
	Month/Year To	Month/Year	Position Title	Supervisor
	Month/Year To	Month/Year	Position Title	Supervisor
	Month/Year To	Month/Year	Position Title	Supervisor

#5	Month/Year To	Month/Year	Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank		
Employer's/Verifier's Street Address				City (Country)	State	ZIP Code	Telephone Number ()
Street Address of Job Location (if different than Employer's Address)				City (Country)	State	ZIP Code	Telephone Number ()
Supervisor's Name & Street Address (if different than Job Location)				City (Country)	State	ZIP Code	Telephone Number ()

PREVIOUS PERIODS OF ACTIVITY (Block #5)	Month/Year To	Month/Year	Position Title	Supervisor
	Month/Year To	Month/Year	Position Title	Supervisor
	Month/Year To	Month/Year	Position Title	Supervisor
	Month/Year To	Month/Year	Position Title	Supervisor

#6	Month/Year To	Month/Year	Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank		
Employer's/Verifier's Street Address				City (Country)	State	ZIP Code	Telephone Number ()
Street Address of Job Location (if different than Employer's Address)				City (Country)	State	ZIP Code	Telephone Number ()
Supervisor's Name & Street Address (if different than Job Location)				City (Country)	State	ZIP Code	Telephone Number ()

PREVIOUS PERIODS OF ACTIVITY (Block #6)	Month/Year To	Month/Year	Position Title	Supervisor
	Month/Year To	Month/Year	Position Title	Supervisor
	Month/Year To	Month/Year	Position Title	Supervisor
	Month/Year To	Month/Year	Position Title	Supervisor

11 PEOPLE WHO KNOW YOU WELL

List three people who know you well and live in the United States. They should be good friends, peers, colleagues, college roommates, etc., whose combined association with you covers as well as possible the last 5 years. Do not list your spouse, former spouses, or other relatives, and try not to list anyone who is listed elsewhere on this form.

Name #1	Dates Known Month/Year Month/Year To	Telephone Number Day Night ()	Home or Work Address	City (Country)	State	ZIP Code
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Name #2	Dates Known Month/Year Month/Year To	Telephone Number Day Night ()	Home or Work Address	City (Country)	State	ZIP Code
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Name #3	Dates Known Month/Year Month/Year To	Telephone Number Day Night ()	Home or Work Address	City (Country)	State	ZIP Code
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Enter your Social Security Number before going to the next page →

12 YOUR SELECTIVE SERVICE RECORD		Yes	No
a	Are you a male born after December 31, 1959? If "No," go to 13. If "Yes," go to b.		
b	Have you registered with the Selective Service System? If "Yes," provide your registration number. If "No," show the reason for your legal exemption below.		
Registration Number		Legal Exemption Explanation	

13 YOUR MILITARY HISTORY		Yes	No
a	Have you served in the United States military?		
b	Have you served in the United States Merchant Marine?		

Code. Use one of the codes listed below to identify your branch of service:

O/E. Mark "O" block for Officer or "E" block for Enlisted.

Country. If your service was with other than the U.S. Armed Forces, identify the country for which you served.

[illegible]

14 ILLEGAL DRUGS	Yes	No
<p>In the last year, have you used, possessed, supplied, or manufactured illegal drugs? When used without a prescription, illegal drugs include marijuana, cocaine, hashish, narcotics (opium, morphine, codeine, heroin, etc.), stimulants (cocaine, amphetamines, etc.), depressants (barbiturates, methaqualone, tranquilizers, etc.), hallucinogenics (LSD, PCP, etc.). (NOTE: Neither your truthful response nor information derived from your response will be used as evidence against you in any subsequent criminal proceeding.)</p>		

Month/Year	Month/Year	Type of Substance	Explanation
	To		
	To		
	To		

Continuation Space

[illegible]

Certification That My Answers Are True

Signature (<i>Sign in ink</i>)	Date
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Enter your Social Security Number before going to the next page

UNITED STATES OF AMERICA

AUTHORIZATION FOR RELEASE OF INFORMATION

Carefully read this authorization to release information about you, then sign and date it in black ink.

I Authorize any investigator, special agent, or other duly accredited representative of the authorized Federal agency conducting my background investigation, to obtain any information relating to my activities from schools, residential management agents, employers, criminal justice agencies, retail business establishments, or other sources of information. This information may include, but is not limited to, my academic, residential, achievement, performance, attendance, disciplinary, employment history, and criminal history record information.

I Understand that, for some sources of information, a separate specific release will be needed, and I may be contacted for such a release at a later date.

I Authorize custodians of records and sources of information pertaining to me to release such information upon request of the investigator, special agent, or other duly accredited representative of any Federal agency authorized above regardless of any previous agreement to the contrary.

I Understand that the information released by records custodians and sources of information is for official use by the Federal Government only for the purposes provided in this Standard Form 85, and may be redisclosed by the Government only as authorized by law.

Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for two (2) years from the date signed.

Signature (<i>Sign in ink</i>)	Full Name (<i>Type or Print Legibly</i>)		Date Signed
Other Names Used			Social Security Number
Current Address (<i>Street, City</i>)	State	ZIP Code	Home Telephone Number (<i>Include Area Code</i>) ()

CONTINUATION SHEET FOR QUESTIONNAIRES
SF 86, SF 85P, AND SF 85

For use with the SF 86, Questionnaire for National Security Positions;
SF 85P, Questionnaire for Public Trust Positions; and
SF 85, Questionnaire for Non-Sensitive Positions

INSTRUCTIONS: Use this form to continue your answers to "Where You Have Lived," "Where You Went to School," and/or "Your Employment Activities." Follow the instructions on the form for the particular questions you are answering and give information in the same sequence. Use as many continuation sheets as needed.

Your Name	Your Social Security Number
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WHERE YOU HAVE LIVED (Continued)

#1	Month/Year To	Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code
Name of Person Who Knew You			Street Address	Apt. #	City (Country)	State	ZIP Code
			Telephone Number ()				
#2	Month/Year To	Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code
Name of Person Who Knew You			Street Address	Apt. #	City (Country)	State	ZIP Code
			Telephone Number ()				
#3	Month/Year To	Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code
Name of Person Who Knew You			Street Address	Apt. #	City (Country)	State	ZIP Code
			Telephone Number ()				
#4	Month/Year To	Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code
Name of Person Who Knew You			Street Address	Apt. #	City (Country)	State	ZIP Code
			Telephone Number ()				
#5	Month/Year To	Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code
Name of Person Who Knew You			Street Address	Apt. #	City (Country)	State	ZIP Code
			Telephone Number ()				

WHERE YOU WENT TO SCHOOL (Continued)

#1	Month/Year To	Month/Year	Code	Name of School	Degree/Diploma/Other	Month/Year Awarded
Street Address and City (Country) of School					State	ZIP Code
Name of Person Who Knew You			Street Address	Apt. #	City (Country)	Telephone Number ()
					State	ZIP Code
#2	Month/Year To	Month/Year	Code	Name of School	Degree/Diploma/Other	Month/Year Awarded
Street Address and City (Country) of School					State	ZIP Code
Name of Person Who Knew You			Street Address	Apt. #	City (Country)	Telephone Number ()
					State	ZIP Code
#3	Month/Year To	Month/Year	Code	Name of School	Degree/Diploma/Other	Month/Year Awarded
Street Address and City (Country) of School					State	ZIP Code
Name of Person Who Knew You			Street Address	Apt. #	City (Country)	Telephone Number ()
					State	ZIP Code

YOUR EMPLOYMENT ACTIVITIES (Continued)

Month/Year		Month/Year		Code	Employer/Verifier Name/Military Duty Location		Your Position Title/Military Rank		
To									
Employer's/Verifier's Street Address					City (Country)		State	ZIP Code	Telephone Number ()
Street Address of Job Location (If different than Employer's Address)					City (Country)		State	ZIP Code	Telephone Number ()
Supervisor's Name & Street Address (If different than Job Location)					City (Country)		State	ZIP Code	Telephone Number ()
PREVIOUS PERIODS OF ACTIVITY	Month/Year		Month/Year		Position Title		Supervisor		
	To								
	Month/Year		Month/Year		Position Title		Supervisor		
	To								
Month/Year		Month/Year		Code	Employer/Verifier Name/Military Duty Location		Your Position Title/Military Rank		
To									
Employer's/Verifier's Street Address					City (Country)		State	ZIP Code	Telephone Number ()
Street Address of Job Location (If different than Employer's Address)					City (Country)		State	ZIP Code	Telephone Number ()
Supervisor's Name & Street Address (If different than Job Location)					City (Country)		State	ZIP Code	Telephone Number ()
PREVIOUS PERIODS OF ACTIVITY	Month/Year		Month/Year		Position Title		Supervisor		
	To								
	Month/Year		Month/Year		Position Title		Supervisor		
	To								
Month/Year		Month/Year		Code	Employer/Verifier Name/Military Duty Location		Your Position Title/Military Rank		
To									
Employer's/Verifier's Street Address					City (Country)		State	ZIP Code	Telephone Number ()
Street Address of Job Location (If different than Employer's Address)					City (Country)		State	ZIP Code	Telephone Number ()
Supervisor's Name & Street Address (If different than Job Location)					City (Country)		State	ZIP Code	Telephone Number ()
PREVIOUS PERIODS OF ACTIVITY	Month/Year		Month/Year		Position Title		Supervisor		
	To								
	Month/Year		Month/Year		Position Title		Supervisor		
	To								
Month/Year		Month/Year		Code	Employer/Verifier Name/Military Duty Location		Your Position Title/Military Rank		
To									
Employer's/Verifier's Street Address					City (Country)		State	ZIP Code	Telephone Number ()
Street Address of Job Location (If different than Employer's Address)					City (Country)		State	ZIP Code	Telephone Number ()
Supervisor's Name & Street Address (If different than Job Location)					City (Country)		State	ZIP Code	Telephone Number ()
PREVIOUS PERIODS OF ACTIVITY	Month/Year		Month/Year		Position Title		Supervisor		
	To								
	Month/Year		Month/Year		Position Title		Supervisor		
	To								
Month/Year		Month/Year		Code	Employer/Verifier Name/Military Duty Location		Your Position Title/Military Rank		
To									
Employer's/Verifier's Street Address					City (Country)		State	ZIP Code	Telephone Number ()
Street Address of Job Location (If different than Employer's Address)					City (Country)		State	ZIP Code	Telephone Number ()
Supervisor's Name & Street Address (If different than Job Location)					City (Country)		State	ZIP Code	Telephone Number ()
PREVIOUS PERIODS OF ACTIVITY	Month/Year		Month/Year		Position Title		Supervisor		
	To								
	Month/Year		Month/Year		Position Title		Supervisor		
	To								

Enter your Social Security Number before going to the next page 

PRIVACY ACT AND PUBLIC BURDEN STATEMENT

The Office of Personnel Management is authorized to request this information under sections 1302, 3301, 3304, and 8716 of title 5 of the U.S. Code. Section 1104 of title 5 allows the Office of Personnel Management to delegate personnel management functions to other Federal agencies. If neces- sary, and usually in conjunction with another form or forms, this form may be used in conducting an investigation to determine your suitability or your ability to hold a security clearance, and it may be disclosed to authorized officials making similar, subsequent determinations.

Public burden reporting for this collection of information is estimated to vary from 5 to 30 minutes with an average of 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of the collection of information, including suggestions for reducing this burden, to Reports and Forms Management Officer, U.S. Office of Personnel Management, 1900 E Street, N.W., Washington, D.C. 20415.

ROUTINE USES: Any disclosure of this record or information in this record is in accordance with routine uses found in System Notice OPM/GOVT-1, General Personnel Records. This system allows disclosure of information to training facilities; organizations deciding claims for retirement, insurance, unemployment, or health benefits; officials in litigation or administrative proceeding where the Government is a party; law enforcement agencies concerning a violation of law or regulation; Federal agencies for statistical reports and studies; officials of labor organizations recognized by law in connection with representing employees; Federal agencies or other sources requesting information for Federal agencies in connection with hiring or retaining, security clearance, security or suitability investigations, classifying jobs, contracting, or issuing licenses, grants, or other benefits; public and private organizations, including news media, which grant or publicize employee recognition and awards; the Merit Systems Protection Board, the Office of Special Counsel, the Equal Employment Opportunity Commission, the Federal

Labor Relations Authority, the National Archives, the Federal Acquisitions Institute, and Congressional offices in connection with their official functions; prospective non-Federal employers concerning tenure of employ- ment, civil service status, length of service, and the date and nature of action for separation as shown on the SF 50 (or authorized exception) of a specifically identified individual; requesting organizations or individuals concerning the home address and other relevant information on those who might have contracted an illness or been exposed to a health hazard; authorized Federal and non-Federal agencies for use in computer matching; spouses or dependent children asking whether the employee has changed from a self-and-family to a self-only health benefits enrollment; individuals working on a contract, service, grant, cooperative agreement, or job for the Federal government; non-agency members of an agency's performance or other panel; and agency- appointed representatives of employees con- cerning information issued to the employee about fitness-for-duty or agency-filed disability retirement procedures.

Optional Form 306 (EG)
September 1994
U.S. Office of Personnel
Management

Declaration for Federal Employment

Form Approved:
O.M.B. No. 3206-0182

GENERAL INFORMATION

1 FULL NAME LAST FIRST MI		2 SOCIAL SECURITY NUMBER
3 PLACE OF BIRTH (Include City and State or Country) CITY STATE OR COUNTRY		4 DATE OF BIRTH (MM/DD/YY)
5 OTHER NAMES EVER USED (For example, maiden name, nickname, etc.)		6 PHONE NUMBERS (Include Area Codes) DAY NIGHT

MILITARY SERVICE

7 Have you served in the United States Military Service? If your only active duty was training in the Reserves or National Guard, answer "NO".	Yes	No
If you answered "YES", list the branch, dates (MM/DD/YY), and type of discharge for all active duty military service.	BRANCH	FROM TO TYPE OF DISCHARGE

BACKGROUND INFORMATION

For all questions, provide all additional requested information under item 15 or on attached sheets. The circumstances of each event you list will be considered. However, in most cases you can still be considered for Federal jobs.

For questions 8, 9, and 10, your answers should include convictions resulting from a plea of nolo contendere (no contest), but omit (1) traffic fines of \$300 or less, (2) any violation of law committed before your 16th birthday, (3) any violation of law committed before your 18th birthday if finally decided in juvenile court or under a Youth Offender law, (4) any conviction set aside under the Federal Youth Corrections Act or similar State law, and (5) any conviction whose record was expunged under Federal or State law.

8 During the last 10 years, have you been convicted, been imprisoned, been on probation, or been on parole? (Includes felonies, firearms or explosives violations, misdemeanors, and all other offenses.) If "Yes", use item 15 to provide the date, explanation of the violation, place of occurrence, and the name and address of the police department or court involved.	Yes	No
9 Have you been convicted by a military court-martial in the past 10 years? (If no military service, answer "NO".) If "Yes", use item 15 to provide the date, explanation of the violation, place of occurrence, and the name and address of the military authority or court involved.		
10 Are you now under charges for any violation of law? If "Yes", use item 15 to provide the date, explanation of the violation, place of occurrence, and the name and address of the police department or court involved.		
11 During the last 5 years, were you fired from any job for any reason, did you quit after being told that you would be fired, did you leave any job by mutual agreement because of specific problems, or were you debarred from Federal employment by the Office of Personnel Management? If "Yes", use item 15 to provide the date, an explanation of the problem and reason for leaving, and the employer's name and address.		
12 Are you delinquent on any Federal debt? (Includes delinquencies arising from Federal taxes, loans, overpayment of benefits, and other debts to the U.S. Government, plus defaults of Federally guaranteed or insured loans such as student and home mortgage loans.) If "Yes", use item 15 to provide the type, length, and amount of the delinquency or default, and steps that you are taking to correct the error or repay the debt.		

ADDITIONAL QUESTIONS

13 Do any of your relatives work for the agency or organization to which you are submitting this form? (Includes father, mother, husband, wife, son, daughter, brother, sister, uncle, aunt, first cousin, nephew, niece, father-in-law, mother-in-law, son-in-law, daughter-in-law, brother-in-law, sister-in-law, stepfather, stepmother, step-son, stepdaughter, stepbrother, stepsister, half brother, and half sister.) If "Yes", use item 15 to provide the name, relationship, and the Department, Agency, or Branch of the Armed Forces for which your relative works.	Yes	No
14 Do you receive, or have you ever applied for, retirement pay, pension, or other pay based on military, Federal civilian, or District of Columbia Government service?		

CONTINUATION SPACE/AGENCY OPTIONAL QUESTIONS

15 Provide details requested in items 8 through 13 and 17c in the continuation space below or on attached sheets. Be sure to identify attached sheets with your name, Social Security Number, and item number, and to include ZIP Codes in all addresses. If any questions are printed below, please answer as instructed (these questions are specific to your position, and your agency is authorized to ask them).

CERTIFICATIONS/ADDITIONAL QUESTION

APPLICANT: If you are applying for a position and have not yet been selected. Carefully review your answers on this form and any attached sheets. When this form and all attached materials are accurate, complete item 16/16a.

APPOINTEE: If you are being appointed. Carefully review your answers on this form and any attached sheets, including any other application materials that your agency has attached to this form. If any information requires correction to be accurate as of the date you are signing, make changes on this form or the attachments and/or provide updated information on additional sheets, initialing and dating all changes and additions. When this form and all attached materials are accurate, complete item 16/16b and answer item 17.

16 I certify that, to the best of my knowledge and belief, all of the information on and attached to this Declaration for Federal Employment, including any attached application materials, is true, correct, complete, and made in good faith. I understand that a false or fraudulent answer to any question on any part of this declaration or its attachments may be grounds for not hiring me, or for firing me after I begin work, and may be punishable by fine or imprisonment. I understand that any information I give may be investigated for purposes of determining eligibility for Federal employment as allowed by law or Presidential order. I consent to the release of information about my ability and fitness for Federal employment by employers, schools, law enforcement agencies, and other individuals and organizations to investigators, personnel specialists, and other authorized employees of the Federal Government. I understand that for financial or lending institutions, medical institutions, hospitals, health care professionals, and some other sources of information, a separate specific release may be needed, and I may be contacted for such a release at a later date.

16a Applicant's Signature (Sign in ink)

Date

16b Appointee's Signature (Sign in ink)

Date

APPOINTING OFFICER: Enter Date of Appointment or Conversion

17 Appointee Only (Respond only if you have been employed by the Federal Government before): Your elections of life insurance during previous Federal employment may affect your eligibility for life insurance during your new appointment. These questions are asked to help your personnel office make a correct determination.

17a When did you leave your last Federal job?

17b When you worked for the Federal Government last time, did you waive Basic Life Insurance or any type of optional life insurance?

17c If you answered "Yes" to item 17b, did you later cancel the waiver(s)? If your answer to item 17c is "No," use item 15 to identify the type(s) of insurance for which waivers were not cancelled.

Date (MM/DD/YY)		
Yes	No	Don't Know

Mailing Instructions

Once the forms have been printed mail to:

For patent examiner positions only use the following address:

United States Patent and Trademark Office
Box JARS
Washington, DC 20231

For all other USPTO positions use the following addresses:

(Hand Carried or Fed Ex)
US Patent and Trademark Office
Office of Human Resources
2011 Crystal Drive, Suite 707
Arlington VA 22202

(Regular Mail)
US Patent and Trademark Office
Office of Human Resources
PO Box 171
Washington DC 20231

Attention: (use the name of your Human Resources Specialist point of contact).

